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| <p>355A55UFORM PTO 13 (M U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (RE 11))</p> <p>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</p> | | <p>ATTORNEY DOCKET NUMBER 010443</p> <p>U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) 09/762751</p> |
| INTERNATIONAL APPLICATION NO. PCT/DE99/02380 | INTERNATIONAL FILING DATE 30 July 1999 | PRIORITY DATE CLAIMED 12 August 1998 |
| <p>TITLE OF INVENTION Method of Measuring the Two-Dimensional Potential Distribution in CMOS Semiconductor Components</p> | | |
| <p>APPLICANT(S) FOR DO/EO/US RAU, Wolf-Dieter</p> | | |
| <p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). 8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 10. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). <p>Items 13 to B0 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail 20. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> a.) a Post Card Receipt | | |

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| U.S. APPLICATION NO. (IF KNOWN SEE 37 CFR 1.5) 0977627 | INTERNATIONAL APPLICATION NO. PCT/DE99/02380 | ATTORNEY'S DOCKET NUMBER 010443 | | |
| 21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : | | CALCULATIONS PTO USE ONLY | | |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 | | \$860.00 \$860.00 \$710.00 \$690.00 \$100.00 \$860.00 \$0.00 | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | \$860.00 | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). | | \$0.00 | | |
| CLAIMS | | NUMBER FILED | NUMBER EXTRA | RATE |
| Total claims | | 5 - 20 = | 0 | <input checked="" type="checkbox"/> \$18.00 |
| Independent claims | | 1 - 3 = | 0 | <input checked="" type="checkbox"/> \$80.00 |
| Multiple Dependent Claims (check if applicable). | | <input type="checkbox"/> \$0.00 | | |
| TOTAL OF ABOVE CALCULATIONS | | = \$860.00 | | |
| Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). | | <input checked="" type="checkbox"/> \$430.00 | | |
| SUBTOTAL | | = \$430.00 | | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | <input type="checkbox"/> \$0.00 | | |
| TOTAL NATIONAL FEE | | = \$430.00 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). | | <input checked="" type="checkbox"/> \$40.00 | | |
| TOTAL FEES ENCLOSED | | = \$470.00 | | |
| <input checked="" type="checkbox"/> A check in the amount of \$470.00 to cover the above fees is enclosed. | | <input type="checkbox"/> Amount to be: refunded \$ | | |
| <input type="checkbox"/> Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. | | <input type="checkbox"/> charged \$ | | |
| <input type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. A duplicate copy of this sheet is enclosed. | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | |
| Law Offices of Karl Hormann 86 Sparks Street Cambridge MA 02138-2216 Tel.: 617.491.8867 Fax: 617.491.8877 | |  SIGNATURE Karl Hormann NAME 26,470 REGISTRATION NUMBER 12 February 2001 DATE | | |

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): RAU, Wolf-Dieter

Docket No.

010443

Serial No.

Filing Date

12 February 2001

Examiner

Group Art Unit
PCT

Invention: Method of Measuring the Two-Dimensional Potential Distribution in CMOS Semiconductor Components

I hereby certify that the following correspondence:

Application to Commence the U.S. National Phase of PCT/DE99/02380

(Identify type of correspondence)

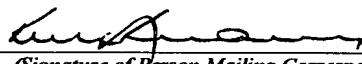
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

12 February 2001

(Date)

Karl Hormann

(Typed or Printed Name of Person Mailing Correspondence)



(Signature of Person Mailing Correspondence)

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